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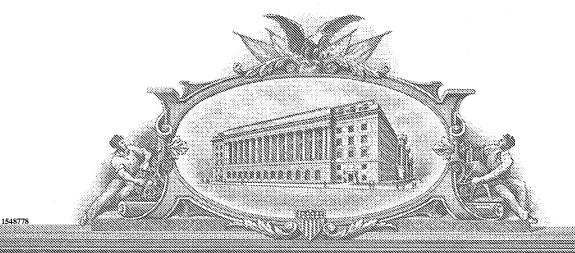
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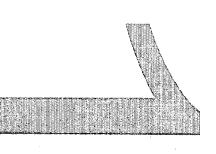


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Level -1 Version 1.1 Updated - 8/01/01



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Level - 2 Version 1.1 Updated - 8/01/01

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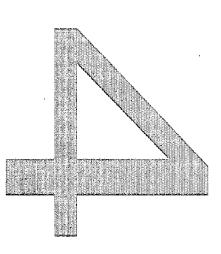
TYPED or PRINTED NAME Jeffrey S. Boone

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This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information. Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.



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Level – 2 Version 1.1 Updated - 8/01/01

Case no.1560.P US

REGIO-SELECTIVE PROCESS A9-TETRAHYDROCANNABINOL

FIELD OF THE INVENTION

blockers for the regio-selective synthesis of THC hydrodannabinol (THC) and THC derivatives, and more particularly to the condensation reaction of a terpinoid with olivetol and olivetol derivatives using cyclodextrins as space The present invention relates to the regio-selective synthesis of  $\Delta^9$ -

### BACKGROUND OF THE INVENTION

 $\Delta^9$ -tetrahydrocannabinol (THC) for several therapeutic applications cannabis. Pharmaceutical interest in cannabinoids has increased due to FDA approval of Naturally occurring cannabinoids are the biologically active components of

a common drawback-the final product is a resinous, hard to purify, complex mixture the THC based on the Pechmann condensation reaction. All known synthesis paths share and lithium derivatives of the olivetol and olivetol dimethyl ether and synthetic route to approaches, such as Diels-Alder reaction of cinnamic acid derivatives, reaction of citral strategy to prepare the THC. Among the several approaches to synthesize THC and its analogs that were shown to have similar activity of marijuana even before the structure of (-)-verbenol, (+)-chrysanthanol, (+)-p-mentha-2,8-diene-2-ol, (+)-trans-2-carene epoxide derivatives, the condensation of olivetol with several terpene based compounds, such as (+)-3-carene oxide and (+)-p-mentha-2-ene-1,8-diol are more efficient than other THC was firmly established. Many efforts have been made to develop an efficient In the 1940's A. R. Todd and R. Adams attempted to prepare several synthetic

commercial purposes are adopted. Production of THC and THC derivatives is therefor costly to scale up for required to purify the THC from the reaction mixture when those synthetic approaches containing up to eight major isomers. As a result, multiple purification steps are

### SUMMARY OF THE INVENTION

or an olivetol derivative complexed with at least one cyclodextrin to block unwanted An aspect of the present invention is to provide a composition comprising olivetol

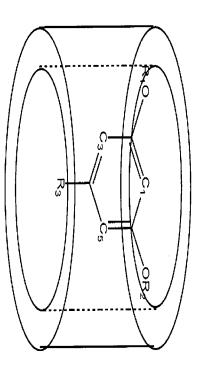
produce the cannabinoid compound. least one cyclodextrin; and reacting at least one terpenoid with the complexed olivetol to cannabinoid compound comprising complexing olivetol or an olivetol derivative with at Another aspect of the present invention is to provide a process for preparing

invention. These and other aspects will become apparent to those skilled in the art in deemed an all-inclusive listing of the innumerable aspects associated with the present light of the following disclosure These are merely illustrative aspects of the present invention and should not be

### DETAILED DESCRIPTION

diameters. are capable of forming inclusion complexes with hydrophobic guest molecules of suitable a torus, with a hydrophilic outer surface and a hydrophobic inner surface. Cyclodextrins cyclodextrins typically have 6, 7 and 8 glucopyranose units. Cyclodextrins are shaped as cyclic oligosaccharides having at least six glucopyranose units. Commercially available A cyclodextrin-olivetol derivative complex is disclosed herein. Cyclodextrins are These cyclodextrin complexes encapsulate guest molecules.

cyclodextrin-olivetol derivative complex is illustrated below. description below, the term "olivetol derivative: is deemed to include olivetol. The sterically hindered reaction field, in which the olivetol derivative is complexed. In the In the present invention, the cyclodextrin provides its cavity as a non-polar



about 10 carbons, branched or unbranched or an aryl (non-polar). When R<sub>1</sub> and R<sub>2</sub> are H and R<sub>3</sub> is a pentyl group, the compound is olivetol. wherein  $R_1$  and  $R_2$  are H or an alkyl group; and wherein  $R_3$  is an akyl having 1 to

unprotected and is available for reaction. blocked, thereby preventing unwanted reactions at these carbons. The C<sub>1</sub> carbon is left In the resulting complex, the  $C_3$  and  $C_5$  positions of the olivetol derivative are

and  $C_5$  result in unwanted by-products that decrease yield and are difficult to remove. condensation reaction of a substrate with the olivetol derivative at C<sub>1</sub>. Reactions at C<sub>3</sub> Conventional synthesis of cannabinoids from olivetol derivatives requires a

successfully blocked. side reaction pathways related to reactions at the  $C_3$  and  $C_5$  positions have been As a result of the complexation of an olivetol derivative with cyclodextrin, the

reaction to prepare THC prepared as an intermediate, which may or may not need to be isolated for further The composition of the cyclodextrin and olivetol derivative non-covalent complex

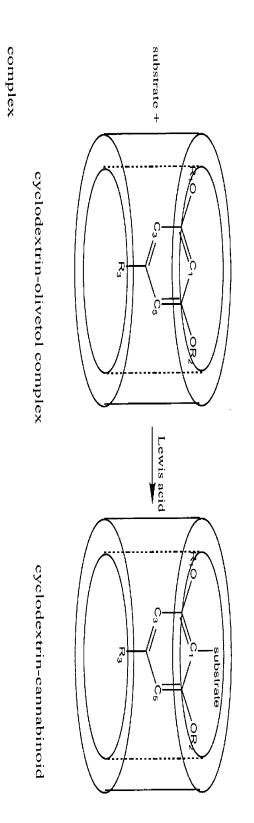
converted to the desired product at a later time. reaction process, the cyclodextrin-olivetol derivative complex is isolated, and then The reaction may be carried out in a one or two-step process. For the two-step

include but are not limited to natural  $\alpha$ -cyclodextrin,  $\beta$ -cyclodextrin,  $\gamma$ -cyclodextrin or ethyl)-β-cyclodextrin carboxyethyl)- $\alpha,\beta,\gamma$ -cyclodextrin, (2,6-Di-O)-ethyl- $\beta$ -cyclodextrin and (2-hydroxymodified synthetic cyclodextrin, such as (2-hydroxy-propyl)-β-cyclodextrin, (2non-polar cavity. Cyclodextrins suitable for complexation with olivetol derivatives The selection of a suitable cyclodextrin depends primarily on the sizing of the

Preferred solvents include halogenated hydrocarbons, tetrahydrofuran and dimethyl hydrocarbons, ethers such as diethyl ether, ketones such as acetone and methyl ethyl are not limited to tetrahydrofuran, dimethyl-formaldehyde, hydrocarbons, halogenated cyclodextrin and olivetol derivative in a suitable solvent. Suitable solvents include but ketone, alcohols such as methanol, ethanol and isopropyl alcohol and mixtures thereof. formaldehyde. The reaction is preferably at room temperature for about 30 minutes The cyclodextrin-olivetol derivative complex is formed by mixing the

pressure, leaving a solid cyclodextrin-olivetol derivative complex. although time and temperature are not critical. The solvent is then evaporated at reduced

The reaction of the cyclodextrin-olivetol derivative complex is illustrated below:



verbenol, (+)-chrysanthanol, (+)-p-mentha-2,8-dicnc-2-ol, (+)-trans-2-carene epoxide, (+)-3-carene oxide and (+)-p-mentha-2-ene-1,8-diol. These substrates are illustrative and are not meant to be limiting of the present invention. To prepare THC cannabinoids, the substrates used in this reaction include (-)-

cyclodextrin-olivetol derivative complex. The temperature is typically maintained at and an acid catalyst, including but not limited to Lewis acids, are added to the solvent system as defined above. While maintaining a reduced temperature, the substrate the art. The process includes dissolving the cyclodextrin-olivetol derivative complex in a The preparation of a THC derivative from an olivetol derivative is well known in

about 0°C to about 15°C, with about 5°C being preferred. The reaction process may be quenched with a base. The resulting mixture is purified by conventional methods known monitored with HPLC, and upon completion of the reaction the reaction may be in the art.

cannabinoid compound or utilized as an intermediate for a different reaction the reaction, as is well known in the art. The cannabidiol can then be converted to cannabidiol, typically by using a weaker acid catalyst or by reducing the temperature of In addition, the above reaction may be altered to result in the formation

cylcodextrin and at least one Lewis acid, rearranges to normal cannabidiol case, it has been determined the ABN-cannabidiol, in the presence of at least one cyclodextrin/olivetol, or the result of rearrangement of the normal cannabidiol. In either menthadiene-1-ol at the  $C_3$  or  $C_5$  position due to incomplete complexation of the mixture, the ABN-cannabidiol being the result of either reaction of the (+)-2,8-Futhermore, the presence of ABN-cannabidiol has been detected in the reaction

and are not intended to limit or define the present invention in any manner EXAMPLES The following examples are offered to illustrate aspects of the present invention,

### Example 1

The preparation of 5-pentyl-1,3-benzenediol/cyclodextrin complex:

and stirred at 25°C for about 30 minutes. The solvent was evaporated at reduced g of olivetol and 31 g of  $\beta$ -cyclodextrin were mixed in 500 ml tetrahedronfuran

pressure. A white solid of the 5-pentyl-1,3-benzenediol/cyclodextrin complex, about 36 g, was obtained

Example 2

Preparation of (-)-2-(p-mentha-2,8-diene-3-yl)pentylbenzene-1,3-diol:

mixture was cooled in an ice water bath to keep the temperature at about 5° C. 4.4 g of the reaction IIPLC and, upon completion of the reaction, an excess of NaIICO3 was added to quench reaction mixture drop wise over 15 minutes. The reaction progress was monitored by into a syringe. The (+)-2,8-menthadicne-1-ol and the acid catalyst were added to the (+)-2,8-menthadiene-1-ol was placed in an addition funnel and p-TSA acid was placed MgSO<sub>4</sub> were mixed together and stirred in 500 ml of tetrahedronfuran. The reaction The freshly prepared olivetol/cyclodextrin complex of Example 1 and 9

concentrated to give an oil. also known as (-)-2-(p-mentha-2,8-diene-3yl)pentylbenzene-1,3-diol, which was heptane/acetonitrile (98:2) as the mobile phase. A fraction contained the (-)-cannabidiol, ether and was washed with 300 ml of water twice and brine solution once. The product mixture was purified via chromatography on a silica gel column utilizing evaporated, leaving about 7.5 g of an oil. The oil was dissolved into 100 ml of petroleum Salts were filtered out from the reaction mixture and the organic solvent was

(3H,s), 2.11 (2H,m), 2.44(3H, m), 3.85(1H,d), 4.6 (2H,d), 5.58 (1H,s), 6.22 (2H,s). <sup>13</sup>C <sup>1</sup>H NMR δH (300 MHz, CHCl3): 0.89(3H,t), 1.27 (4H, m), 1.56 (2H,m), 1.65(3H,s), 1.79

111.4, 111.6, 124.2, 140.7, 143.5, 145.4, 156.3 NMR 8II (300mHz, CHCl3): 14.6, 20.8, 23.3, 24.3, 28.7, 30.8, 37.4, 45.6, 108.2, 110.0,

Example 3

Preparation of (-)-trans- $\Delta$ °-tetrahydrocannabinol:

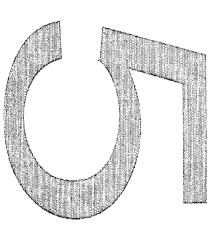
upon completion of the reaction, an excess of NaHCO<sub>3</sub> was added to quench the reaction. cooled in an ice water bath to keep the temperature at about  $5^{\circ}$ C. 4.4 g of (+)-2,8purity over 98%, was concentrated to give a light yellow oil. a silica gel column and (-)-trans- $\Delta^9$ -tetrahydrocannabinol eluted with hcptane/acetonitrile oil was dissolved into 100 ml of petroleum ether and was washed with 300 ml of water to give an oil. Approximately 7.0 g of the oil was obtained as a mixture of (-)-trans- $\Delta^9$ -Salts were filtered out from the reaction mixture and the organic solvent was evaporated mixture drop wise over 15 minutes. The reaction progress was monitored by HPLC and menthadiene-1-ol was placed in an addition funnel and BF<sub>3</sub>Et<sub>2</sub>O acid was placed into MgSO<sub>4</sub> were mixed together in 500 ml of tctrahydrofuran. The reaction mixture was tetrahydrocannabinol and some minor amount of (-)-trans- $\Delta^8$ -tetrahydrocannabinol. The (98:2) as mobile phase. twice and brine solution once. The freshly prepared olivetol/cyclodextrin complex of Example 1 and 9 g of The (+)-2,8-menthadiene-1-ol and the acid catalyst were added to the reaction A fraction containing the (-)-trans- $\Delta^9$ -tetrahydrocannabinol, with The product mixture was purified via chromatography on

scope. that modifications may be made of the invention without departing from its spirit and Therefore, it is not intended that the scope of the invention be limited to the Having described the invention in detail, thosc skilled in the art will appreciate

specific embodiments described. Rather, it is intended that the appended claims and their equivalents determine the scope of the invention.



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### Claims:

- one cyclodextrin. A composition comprising an olivetol derivative complexed with at least
- ethyl- $\beta$ -cyclodextrin and (2-hydroxy-cthyl)- $\beta$ -cyclodextrin. cyclodextrin includes a cyclodextrin selected from the group consisting of natural  $\alpha$ cyclodextrin,  $\beta$ -cyclodextrin,  $\gamma$ -cyclodextrin or modified synthetic cyclodextrin, such as (2-hydroxy-propyl)- $\beta$ -cyclodextrin, (2-carboxyethyl)- $\alpha$ , $\beta$ , $\gamma$ -cyclodextrin, (2,6-Di-O)-The composition according to claim 1 wherein the at least one
- ÿ The composition according to claim 1 wherein the olivetol derivative

comprises

groups having 1 to about 10 carbons and aryl groups. the group consisting of normal akyl groups having 1 to about 10 carbons, branched alkyl wherein  $R_1$  and  $R_2$  are H or an alkyl or alcohol; and wherein  $R_3$  is selected from

- olivetol. The composition according to claim 1 wherein the olivetol derivative is
- 'n A composition comprising olivetol complexed with  $\beta$ -cyclodextrin.
- 0 A process for preparing a cannabinoid compound comprising:

cannabinoid compound. complexing an olivetol derivative with at least one cyclodextrin; and reacting at least one terpenoid with the complexed olivetol to produce the

- cyclodextrin and (2-hydroxy-ethyl)- $\beta$ -cyclodextrin. propyl)- $\beta$ -cyclodextrin, (2-carboxyethyl)- $\alpha$ , $\beta$ , $\gamma$ -cyclodextrin, (2,6-Di-O)-ethyl- $\beta$ cyclodextrin, γ-cyclodextrin or modified synthetic cyclodextrin, such as (2-hydroxyincludes a cyclodextrin selected from the group consisting of natural  $\alpha$ -cyclodextrin,  $\beta$ -7 The process according to claim 4 wherein the at least one cyclodextrin
- dicne-2-ol, (+)-trans-2-carene epoxide, (+)-3-carene oxide and (+)-p-mentha-2-cne-1,8selected from the group consisting of(-)-verbenol, (+)-chrysanthanol, (+)-p-mentha-2,8diol. œ The process according to claim 4 wherein the at least one terpenoid is
- complexed olivetol derivative temperature below room temperature while reacting the at least one terpenoid with the The process according to claim 4 further including maintaining
- about 15° C. 10. The process according to claim 9 wherein the temperature is about 0° C
- catalyst. 11. The process according to claim 4 further including adding at least one acid
- of the at least one terpenoid with the complexed olivetol derivative with a base 12. The process according to claim 4 further including quenching the reaction

- occurring component of cannabis. 13. The process according to claim 4 wherein the cannabinoid is a naturally
- analog of cannabis 14. The process according to claim 4 wherein the cannabinoid is a synthetic
- temperature low enough to result in the production of a cannabidiol compound 15. reacting at least one terpenoid with the complexed olivetol derivative at a complexing an olivetol derivative with at least one cyclodextrin; and A process for preparing a cannabidiol compound comprising
- cyclodextrin and (2-hydroxy-ethyl)-β-cyclodextrin. propyl)- $\beta$ -cyclodextrin, (2-carboxycthyl)- $\alpha$ , $\beta$ , $\gamma$ -cyclodextrin, (2,6-Di-O)-ethyl- $\beta$ cyclodextrin,  $\gamma$ -cyclodextrin or modified synthetic cyclodextrin, such as (2-hydroxyincludes a cyclodextrin selected from the group consisting of natural  $\alpha$ -cyclodextrin,  $\beta$ -16. The process according to claim 15 wherein the at least one cyclodextrin
- selected from the group consisting of(-)-verbenol, (+)-chrysanthanol, (+)-p-mentha-2,8diol diene-2-ol, (+)-trans-2-carene epoxide, (+)-3-carene oxide and (+)-p-mentha-2-ene-1,8-17. The process according to claim 15 wherein the at least one terpenoid is
- derivative, wherein the acid catalyst is selected to result in the formation of the acid catalyst while reacting the at least one terpenoid with the complexed olivetol cannabidiol. 18. The process according to claim 15 further including adding at least one

- reaction of the at least one terpenoid with the complexed olivetol derivative with a base. 19. The process according to claim 15 further including quenching the
- 20. A process for preparing  $\Delta^9$ -tetrahydrocannabinol comprising: complexing olivetol with at least one cyclodextrin; and reacting the complexed olivetol with (+)-p-mentha-2,8-diene-1-ol to form

 $\Delta$ °-tetrahydrocannabinol.

- propyl)- $\beta$ -cyclodextrin, (2-carboxyethyl)- $\alpha$ , $\beta$ , $\gamma$ -cyclodextrin, (2,6-Di-O)-ethyl- $\beta$ cyclodextrin,  $\gamma$ -cyclodextrin or modified synthetic cyclodextrin, such as (2-hydroxyincludes a cyclodextrin selected from the group consisting of natural  $\alpha$ -cyclodextrin,  $\beta$ cyclodextrin and (2-hydroxy-ethyl)-β-cyclodextrin. The process according to claim 20 wherein the at least one cyclodextrin
- the complexed olivetol. temperature below room temperature while reacting the (+)-p-mentha-2,8-diene-1-ol with The process according to claim 20 further including maintaining a
- to about 15° C The process according to claim 20 wherein the temperature is about 0° C
- acid catalyst while reacting the (+)-p-mentha-2,8-diene-1-ol with the complexed olivetol. 24. The process according to claim 20 further including adding at least one
- reaction of the (+)-p-mentha-2,8-diene-1-ol with the complexed olivetol with a base 25. The process according to claim 20 further including quenching with the
- 26. complexing olivetol with \(\beta\)-cyclodextrin; and A process for preparing  $\Delta^9$ -tetrahydrocannabinol comprising:

 $\Delta^9$ -tetrahydrocannabinol. reacting the complexed olivetol with (+)-p-mentha-2,8-diene-1-ol to form

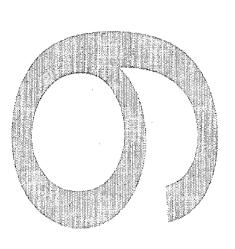
- the complexed olivetol. temperature below room temperature while reacting the (+)-p-mentha-2,8-diene-1-ol with 27. The process according to claim 26 further including maintaining a
- to about 15° C. 28. The process according to claim 27 wherein the temperature is about 0° C
- acid catalyst while reacting the (+)-p-mentha-2,8-diene-1-ol with the complexed olivetol. 29. The process according to claim 26 further including adding at least one
- reaction of the (+)-p-mentha-2,8-diene-1-ol with the complexed olivetol with a base. 30. The process according to claim 26 further including quenching the

### Abstract

produce the cannabinoid compound. reacting at least one terpenoid with the cyclodextrin-olivetol derivative complex to effectively blocks reaction at specific carbons to prevent unwanted reactions. A process for preparing a cannabinoid compound is further provided. The process comprises An cyclodextrin-olivetol derivative complex is provided. The complex



### A DUTTUCE



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